Securities & Exchange Commission of Brazil

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CVM – COMISSÃO DE VALORES MOBILIÁRIOS

- **RISK BASED AUDIT**
- **MANUAL FILTERS**
- **HANDPICKED CASES**

CVM – COMISSÃO DE VALORES MOBILIÁRIOS

- **IMPAIRMENT TEST VALUETION**
- **CREATE A RISK INDEX**
- **FINANCIAL AND TEXTUAL DATA**
- **KAM – KEY AUDIT MATTERS**

Project

Phase 1
- Knowledge Engineering
  - interviews with experts

Phase 2
- Formalization
  - Model Development

Phase 3
- Feedback
  - Model Adjustments / Validation

Phase 4
- Automatization

Q & A

Thank you